

Top 5 Reporting acc. to ESMA RTS28 Annex II Table 1 from Austrian Anadi Bank AG for 2017

Retail Clients

Class of Instrument	Exchange traded products				
Notification if <1 average trade per business day in the previous year	YES				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
NYSE Arca ARCX	7.00%	14.97%	0.00%	0.00%	4.00%
Deutsche Börse - Frankfurt Xetra XETR	53.00%	55.69%	0.00%	0.00%	22.00%
Deutsche Börse - Frankfurt XFRA	15.00%	14.97%	0.00%	0.00%	3.00%
London Stock Exchange (LSE) XLON	15.00%	8.98%	0.00%	0.00%	100.00%
SIX Swiss Exchange XSWX	9.00%	5.39%	0.00%	0.00%	100.00%

Class of Instrument	Equities – Shares & Depository Receipts - Tick size liquidity bands 5 and 6				
Notification if <1 average trade per business day in the previous year	NO				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse - Frankfurt Xetra XETR	73.00%	55.38%	0.00%	0.00%	17.00%
Deutsche Börse - Frankfurt XFRA	6.00%	9.36%	0.00%	0.00%	26.00%
London Stock Exchange (LSE) XLON	0.00%	0.70%	0.00%	0.00%	100.00%
EURONEXT PARIS XPAR	3.00%	8.27%	0.00%	0.00%	3.00%
WIENER BOERSE XVIE	17.00%	26.29%	0.00%	0.00%	13.00%

Class of Instrument	Equities – Shares & Depository Receipts - Tick size liquidity bands 3 and 4				
Notification if <1 average trade per business day in the previous year	NO				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse - Frankfurt Xetra XETR	33.00%	30.75%	0.00%	0.00%	22.00%
Deutsche Börse - Frankfurt XFRA	14.00%	8.49%	0.00%	0.00%	11.00%
NASDAQ Stock Market XNMS	9.00%	4.22%	0.00%	0.00%	100.00%
NEW YORK STOCK EXCHANGE (NYSE) XNYS	4.00%	1.91%	0.00%	0.00%	94.00%
WIENER BOERSE XVIE	40.00%	54.62%	0.00%	0.00%	8.00%

Class of Instrument	Equities – Shares & Depositary Receipts - Tick size liquidity bands 1 and 2				
Notification if <1 average trade per business day in the previous year	NO				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse - Frankfurt Xetra XETR	12.00%	15.55%	0.00%	0.00%	75.00%
Deutsche Börse - Frankfurt XFRA	53.00%	51.74%	0.00%	0.00%	11.00%
NASDAQ Stock Market XNMS	14.00%	16.86%	0.00%	0.00%	99.00%
NEW YORK STOCK EXCHANGE (NYSE) XNYS	16.00%	8.43%	0.00%	0.00%	96.00%
WIENER BOERSE XVIE	5.00%	7.41%	0.00%	0.00%	6.00%

Class of Instrument	Without specific classification				
Notification if <1 average trade per business day in the previous year	YES				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse - Frankfurt Xetra XETR	9.00%	8.70%	0.00%	0.00%	0.00%
Deutsche Börse - Frankfurt XFRA	71.00%	67.52%	0.00%	0.00%	98.00%
Hamburg - Hanseatische Wertpapierbörse XHAM	2.00%	0.26%	0.00%	0.00%	100.00%
NEW YORK STOCK EXCHANGE (NYSE) XNYS	5.00%	5.12%	0.00%	0.00%	87.00%
Stuttgart XSTU	14.00%	18.41%	0.00%	0.00%	2.00%

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	YES				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse - Frankfurt Xetra XETR	22.00%	26.09%	0.00%	0.00%	79.00%
Deutsche Börse - Frankfurt XFRA	44.00%	39.13%	0.00%	0.00%	96.00%
WIENER BOERSE XVIE	30.00%	30.43%	0.00%	0.00%	0.00%
SIX Swiss Exchange Blue Chip Segment XVTX	5.00%	4.35%	0.00%	0.00%	0.00%
-	0	0	0	0	0

Class of Instrument	Debt instruments				
Notification if <1 average trade per business day in the previous year	YES				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse - Frankfurt XFRA	26.00%	36.36%	0.00%	0.00%	100.00%
Börse München XMUN	6.00%	6.06%	0.00%	0.00%	91.00%
Stuttgart XSTU	42.00%	30.30%	0.00%	0.00%	99.00%
WIENER BOERSE XVIE	26.00%	27.27%	0.00%	0.00%	100.00%
-	0	0	0	0	0

Class of Instrument	Securitized Derivatives				
Notification if <1 average trade per business day in the previous year	NO				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Börse Düsseldorf XDUS	0.00%	0.17%	0.00%	0.00%	0.00%
Deutsche Börse - Frankfurt XFRA	76.00%	54.01%	0.00%	0.00%	99.00%
Börse München XMUN	0.00%	0.84%	0.00%	0.00%	0.00%
Stuttgart XSTU	23.00%	44.31%	0.00%	0.00%	0.00%
WIENER BOERSE XVIE	0.00%	0.67%	0.00%	0.00%	0.00%